

Todd Rokita Indiana Secretary of State Securities Division 302. W. Washington Street, E-111 Indianapolis, Indiana 46204 (317) 232-6681

Applicant's Full Legal Name _	
Date (MM/DD/YYYY)	

- 1. This Form is used to determine the amount of the initial/renewal fee to be submitted with application for License as a Loan Broker (State Form 38168/Form LB 1), or Application for Registration as a Principal Manager or Originator (State Form 49718/Form LB 4).
- 2. **Complete Each Column:** In the first column, enter the full legal name of the *person* for whom the fee is being submitted. In the second column enter the category; and in the third column, enter the total fee amount for that row.
- 3. The following fees are required to be submitted with the application(s):
- For License as a Loan Broker
 - An application fee of \$400.00, plus \$200.00 for each ultimate equitable owner
- For Registration as a Principal Manager
 - An application fee of \$200.00
- For Registration as an Originator:
 - An application fee of \$100.00

Name of Individual or Entity	<u>Category</u>	Amount Paid
(list the full legal name; for individuals: Last	(loan broker, ultimate equitable owner,	
Name, First Name, Middle Name)	principal manager, or originator)	
Total Fee to Be Submitted with Application		